

Occupational Safety and Health

For Technologists, Engineers, and Managers

EIGHTH EDITION



David L. Goetsch



ALWAYS LEARNING

OCCUPATIONAL SAFETY AND HEALTH

For Technologists, Engineers, and Managers

This page is intentionally left blank.

OCCUPATIONAL SAFETY AND HEALTH

For Technologists, Engineers, and Managers

Eighth Edition Global Edition

David L. Goetsch

Vice-President Emeritus and Professor Northwest Florida State College

PEARSON

Boston Columbus Indianapolis New York San Francisco Upper Saddle River Amsterdam Cape Town Dubai London Madrid Milan Munich Paris Montreal Toronto Delhi Mexico City São Paolo Sydney Hong Kong Seoul Singapore Taipei Tokyo

Editorial Director: Vernon R. Anthony Head of Learning Asset Acquisition, Global Edition: Laura Dent Senior Acquisitions Editor: Lindsey Gill Editorial Assistant: Nancy Kesterson Director of Marketing: David Gesell Senior Marketing Coordinator: Alicia Wozniak Marketing Assistant: Les Roberts Program Manager: Maren L. Beckman Project Manager: Janet Portisch Acquisitions Editor, Global Edition: Vrinda Malik Associate Project Editor, Global Edition: Uttaran Das Gupta Procurement Specialist: Deidra M. Skahill Senior Manufacturing Controller, Production, Global Edition: Trudy Kimber Senior Art Director: Diane Ernsberger **Cover Designer:** Lumina Datamatics Cover Image: © Luiz Rocha/Shutterstock Manager, Rights and Permissions: Mike Lackey Media Director: Leslie Brado Lead Media Project Manager: April Cleland Full-Service Project Management: Jogender Taneja, Aptara[®], Inc.

Credits and acknowledgments borrowed from other sources and reproduced, with permission, in this textbook appear on the appropriate page within text.

Pearson Education Limited Edinburgh Gate Harlow Essex CM 20 2JE England

and Associated Companies throughout the world

Visit us on the World Wide Web at: www.pearsonglobaleditions.com

© Pearson Education Limited 2015

The rights of David L. Goetsch to be identified as the author of this work have been asserted by him in accordance with the Copyright, Designs and Patents Act 1988.

Authorized adaptation from the United States edition, entitled Occupational Safety and Health: For Technologists, Engineers, and Managers, 8th edition, ISBN 978-0-133-48417-5, by David L. Goetsch, published by Pearson Education © 2015.

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted in any form or by any means, electronic, mechanical, photocopying, recording or otherwise, without either the prior written permission of the publisher or a license permitting restricted copying in the United Kingdom issued by the Copyright Licensing Agency Ltd, Saffron House, 6–10 Kirby Street, London EC1N 8TS.

All trademarks used herein are the property of their respective owners. The use of any trademark in this text does not vest in the author or publisher any trademark ownership rights in such trademarks, nor does the use of such trademarks imply any affiliation with or endorsement of this book by such owners.

ISBN 10: 1-292-06199-5 ISBN 13: 978-1-292-06199-3 (Print) ISBN 13: 978-1-292-06216-7 (PDF)

British Library Cataloguing-in-Publication Data

A catalogue record for this book is available from the British Library

 $\begin{array}{c} 10 \ 9 \ 8 \ 7 \ 6 \ 5 \ 4 \ 3 \ 2 \ 1 \\ 14 \ 13 \ 12 \ 11 \end{array}$

Typeset by Aptara®, Inc. in Melior 10 pt. Printed and bound by Courier Kendallville in The United States of America.

PREFACE

BACKGROUND

The field of occupational safety and health has undergone significant change over the past three decades. There are many reasons for this. Some of the more prominent reasons include the following: technological changes that have introduced new hazards in the workplace; proliferation of health and safety legislation and corresponding regulations; increased pressure from regulatory agencies; realization by executives that workers in a safe and healthy workplace are typically more productive; health care and workers' compensation cost increases; increased pressure from environmental groups and the public; a growing interest in ethics and corporate responsibility; professionalization of health and safety occupations; increased pressure from labor organizations and employees in general; rapidly mounting costs associated with product safety and other types of litigation; and increasing incidents of workplace violence.

All of these factors, when combined, have made the job of the modern safety and health professional more challenging and more important than it has ever been. These factors have also created a need for an up-to-date book on workplace safety and health that contains the latest information needed by people who will practice this profession in an age of global competition and rapid technological change.

WHY WAS THIS BOOK WRITTEN AND FOR WHOM?

This book was written to fulfill the need for an up-to-date, practical teaching resource that focuses on the needs of modern safety and health professionals practicing in the workplace. It is intended for use in universities, colleges, community colleges, and corporate training settings that offer programs, courses, workshops, and seminars in occupational safety and health. Educators in such disciplines as industrial technology, manufacturing technology, industrial engineering, engineering technology, occupational safety, management, and supervision will find this book both valuable and easy to use. The direct, straightforward presentation of material focuses on making the theories and principles of occupational safety and health practical and useful in a real-world setting. Up-to-date research has been integrated throughout in a down-to-earth manner.

ORGANIZATION OF THE BOOK

The text contains 31 chapters organized into 5 parts, each focusing on a major area of concern for modern safety and health professionals. The chapters are presented in an order that is compatible with the typical organization of a college-level safety and health course. A standard chapter format is used throughout the book. Each chapter begins with a list of major topics and ends with a comprehensive summary. Following the summary, most chapters include review questions, key terms and concepts, and endnotes. Within each chapter are case studies to promote classroom discussion, as well as at least one safety fact or myth. These materials are provided to encourage review, stimulate additional thought, and provide opportunities for applying what has been learned.

SUPPLEMENTS

To access supplementary materials online, instructors need to request an instructor access code. Go to **www.pearsonglobaledition.com/Goetsch** to register for an instructor access code. Within 48 hours of registering, you will receive a confirming e-mail including an instructor access code. Once you have received your code, locate your text in the

6

online catalog and click on the Instructor Resources button on the left side of the catalog product page. Select a supplement, and a login page will appear. Once you have logged in, you can access instructor material for all Pearson textbooks. If you have any difficulties accessing the site or downloading a supplement, please contact Customer Service at http://247pearsoned.custhelp.com/.

HOW THIS BOOK DIFFERS FROM OTHERS

This book was written because in the age of global competition, safety and health in the workplace have changed drastically. Many issues, concerns, and factors relating specifically to modern workplace environments have been given more attention, greater depth of coverage, and more illumination here than other textbooks. Some of the areas receiving more attention and specific occupational examples include:

- The Occupational Safety and Health Act (OSH Act) and Occupational Safety and Health Administration (OSHA)
- Standards and codes
- Laws and liability
- Stress-related problems
- Life safety and fire hazards
- The evolving roles of health and safety professionals
- Health and safety training
- Human factors in safety
- Environmental issues and ISO 14000 standards
- Computers, robots, and automation
- Ethics and safety
- Bloodborne pathogens in the workplace
- MRSA in the workplace
- Product safety and liability
- Ergonomics and safety
- The relationship between safety and quality
- Workplace violence
- Workers' compensation
- Repetitive strain injuries (RSIs)
- Terrorism threats in the workplace
- Safety-first corporate culture
- Off-the-job safety

NEW TO THIS EDITION

The eighth edition of *Occupational Safety and Health* is a major revision encompassing new regulations, revised regulations, and other new and updated material of importance to students of occupational safety and health. Specifically, the following revisions were made in the eighth edition:

- Chapter 1: New section covering the Return on Investment (ROI) in safety and health management was added as was a new example case about a factory fire in Bangladesh.
- Chapter 2: The section Death Rates by Industry was updated.
- Chapter 3: New material was added on Heinrich's theory and corrective action and on the limitations of event-chain accident causation theories.

- Chapter 6: This chapter was re-written to reflect changes to OSHA standards that have occurred since the seventh edition. Revisions made include a re-write of: OSHA's mission; coverage of federal, state, and local government personnel; how OSHA standards are developed; workplace inspection priorities; OSHA's whistleblower program; OSHA's severe violator enforcement program; OSHA assistance, services, and programs; and the section on OSHA's Maritime Standard. In addition, a new section on OSHA's stand on safety incentives was added.
- Chapter 7: Added material clarifying explaining when employees covered by Workers' Compensation can and cannot sue.
- Chapter 8: Added new material on the what and why of workplace accidents, the latest version of OSHA's Form 301, the team approach to accident investigations, how to add perspective to accident scene photographs, and how to follow-up an accident investigation.
- Chapter 10: Added new material on the repeal of OSHA's short-lived Ergonomic Program Standard and updated the statistics on the extent of MSDs and CTDs.
- Chapter 14: Added new material on minimum general requirements for machine safeguards. Added a new section titled "Permanent Electrical Safety Devices in Lockout/ Tagout Programs."
- Chapter 15: Added new material on the new ANSI/NFSI B101.1 Standard for measuring wet SCOF traction, OSHA's Fall Protection Standard, and head protection (rating of hard hats). Added a new section titled "OSHA's Fall Protection Standard for Construction."
- Chapter 16: Added material on PPE for cold work environments.
- Chapter 18: Updated and expanded the "Electrical Hazards Self-Assessment." Added a new section titled "Permanent Electrical Safety Devices."
- Chapter 19: Added new material on flammable and combustible liquids.
- Chapter 20: Updated OSHA's Process Safety Management Guidelines and the section on Material Safety Data Sheets or MSDS (now Safety Data Sheets or SDS) and Global Harmonization of Hazard Communication (GHS). Added a new list of standards for Indoor Air Quality (IAQ) investigations and new sections titled "ASTM D7338: Guide for the Assessment of Fungal Growth in Buildings," "OSHA's Chemical Process Standard," "Environment Protection Agency (EPA) Risk Management Program," "Hazardous Materials Transportation Act (HMTA)," "OSHA Confined Space Standard," and "Nanoscale Materials and Industrial Hygiene."
- Chapter 22: Added new material on fit testing and a new section titled "Future of Hearing Conservation: Noise Reduction Rating."

ABOUT THE AUTHOR

David L. Goetsch is Vice-President Emeritus of Northwest Florida State College and professor of safety, quality, and environmental management. In addition, Dr. Goetsch is president and CEO of the Institute for Organizational Excellence (IOE), a private consulting firm dedicated to the continual improvement of organizational competitiveness, safety, and quality. Dr. Goetsch is cofounder of The Quality Institute, a partnership of the University of West Florida, Northwest Florida State College, and the Okaloosa Economic Development Council.

ACKNOWLEDGMENTS

The author acknowledges the invaluable assistance of the following people in developing this book: Dr. Lissa Galbraith, Florida A&M/Florida State University, for the material she contributed on electrical and fire hazards in the first edition; Harvey Martin, health and safety manager of Metric Systems Corporation in Fort Walton Beach, Florida, for providing up-to-date research material; and the following reviewers for their invaluable input: Steven A. Freeman—Iowa State University; JoDell K. Steuver—Purdue University; and Ottis E. Walizer—Minot State University. Special acknowledgment goes to Larry D. Leiman for his contributions in updating all OSHA standards.

Pearson would like to thank and acknowledge the following persons for their contributions to the Global Edition:

Contributors: Mohd Saidin Misnan, Universiti Teknologi Malaysia, Johor; and Vipin Sharma

Reviewers: Tushar Kant Joshi, director of occupational medical program, Centre for Occupational and Environmental Health, Maulana Azad Medical College, New Delhi; Arvind Kumar, National Institute of Technology, Rourkela; B. Suresh, Bapuji Institute of Engineering and Technology, Davangere, Karnataka.

INTRODUCTION

SAFETY VERSUS HEALTH

The title of this book intentionally includes the words *safety* and *health*. Throughout the text, the titles "safety and health professional" and "safety and health manager" are used. This, too, is done by design. This approach underscores the point that the field of occupational safety has been broadened to encompass both safety and health. Consequently, managers, technical personnel, and engineers in this field must be knowledgeable about safety and health and be prepared to oversee a corporate program that encompasses both areas of responsibility.

Safety and health, although closely related, are not the same. One view is that safety is concerned with injury-causing situations, whereas health is concerned with diseasecausing conditions. Another view is that safety is concerned with hazards to humans that result from sudden severe conditions; health deals with adverse reactions to exposure to dangerous, but less intense, hazards. Both of these views are generally accurate in portraying the difference between safety and health. However, the line between these two concepts is not always clearly marked.

For example, on the one hand, stress is a hazard that can cause both psychological and physiological problems over a prolonged period. In this case, it is a health concern. On the other hand, an overly stressed worker may be more prone to unintentionally forget safety precautions and thus may cause an accident. In this case, stress is a safety concern.

Because managers in this evolving profession are likely to be responsible for safety *and* health, it is important that they have a broad academic background covering both. This book attempts to provide that background.

This broadening of the scope of the profession does not mean that specialists in safety and health are not still needed. They are. Chapter 4 shows how today's safety and health manager is a generalist who often heads a team of specialists such as safety engineers, health physicists, industrial hygienists, occupational nurses, occupational physicians, and risk managers. In order to manage a team of specialists in these various areas, safety and health managers must have the broad and comprehensive background that this book provides. This page is intentionally left blank.

BRIEF CONTENTS

PART 1 HISTORICAL PERSPECTIVE AND OVERVIEW 23

- 1 Safety and Health Movement, Then and Now 25
- 2 Accidents and Their Effects 41
- **3** Theories of Accident Causation 55
- 4 Roles and Professional Certifications for Safety and Health Professionals 74
- 5 Safety, Health, and Competition in the Global Marketplace 97

PART 2 LAWS AND REGULATIONS 105

- 6 The OSH Act, Standards, and Liability 107
- 7 Workers' Compensation 157
- 8 Accident Investigation and Reporting 183
- 9 Product Safety and Liability 200

PART 3 THE HUMAN ELEMENT 215

- 10 Ergonomic Hazards: Musculoskeletal Disorders (MSDs) and Cumulative Trauma Disorders (CTDs) 217
- 11 Stress and Safety 248
- 12 Safety and Health Training 259
- 13 Violence in the Workplace 288

PART 4 HAZARD ASSESSMENT, PREVENTION, AND CONTROL 303

- 14 Mechanical Hazards and Machine Safeguarding 305
- 15 Falling, Impact, Acceleration, Lifting, and Vision Hazards with Appropriate PPE 325
- 16 Hazards of Temperature Extremes 357

- 17 Pressure Hazards 371
- **18 Electrical Hazards 384**
- **19 Fire Hazards and Life Safety 403**
- 20 Industrial Hygiene and Confined Spaces 429
- 21 Radiation Hazards 471
- 22 Noise and Vibration Hazards 487
- 23 Computers, Automation, and Robots 512
- 24 Bloodborne Pathogens and Bacterial Hazards in the Workplace 524

PART 5 MANAGEMENT OF SAFETY AND HEALTH 545

- 25 Preparing for Emergencies and Terrorism 547
- 26 Ethics and Safety 571
- 27 Hazard Analysis/Prevention and Safety Management 584
- 28 Promoting Safety 606
- 29 Environmental Safety and ISO 14000 (Environmental Management) 623
- 30 TSM: Total Safety Management in a Quality Management Setting 651
- 31 Establishing a Safety-First Corporate Culture 664

CONTENTS

PART 1 HISTORICAL PERSPECTIVE AND OVERVIEW 23

1 Safety and Health Movement, Then and Now 25

Developments Before the Industrial Revolution 25 Milestones in the Safety Movement 26 Tragedies That Have Changed the Safety Movement 29 Role of Organized Labor 31 Role of Specific Health Problems 31 Development of Accident Prevention Programs 33 Development of Safety Organizations 34 Safety and Health Movement Today 36 Integrated Approach to Safety and Health 36 New Materials, New Processes, and New Problems 37 Rapid Growth in the Profession 37 Return on Investment in Safety and Health Management 37

2 Accidents and Their Effects 41

Costs of Accidents 42 Accidental Deaths in the United States 42 Accidents versus Other Causes of Death 43 Work Accident Costs and Rates 44 Time Lost Because of Work Injuries 44 Deaths in Work Accidents 44 Work Injuries by Type of Accident 45 Death Rates by Industry 45 Parts of the Body Injured on the Job 46 Chemical Burn Injuries 47 Heat Burn Injuries 47 Repetitive Strain/Soft Tissue Injuries 48 Estimating the Cost of Accidents 49 Global Impact of Accidents and Injuries 51

3 Theories of Accident Causation 55

Domino Theory of Accident Causation 55 Human Factors Theory of Accident Causation 58 Accident/Incident Theory of Accident Causation 60 Epidemiological Theory of Accident Causation 62 Systems Theory of Accident Causation 63 Combination Theory of Accident Causation 66 Behavioral Theory of Accident Causation 67 Drugs and Accident Causation 68 Depression and Accident Causation 68 Management Failures and Accident Causation 69 Obesity and Accident Causation 70

4 Roles and Professional Certifications for Safety and Health Professionals 74

Modern Safety and Health Teams 74 Safety and Health Manager 75 Engineers and Safety 82 Industrial Hygienist 87 Health Physicist 87 Occupational Physician 87 Occupational Health Nurse 88 Risk Manager 89 Certification of Safety and Health Professionals 90 Emerging Role of Safety Professionals 94

5 Safety, Health, and Competition in the Global Marketplace 97

Competitiveness Defined 97 Productivity and Competitiveness 99 Quality and Competitiveness 100 How Safety and Health Can Improve Competitiveness 101

PART 2 LAWS AND REGULATIONS 105

6 The OSH Act, Standards, and Liability 107

Rationale for the OSH Act 108 OSHA's Mission and Purpose 108 OSH Act Coverage 109 OSHA Standards 110 OSHA's Record Keeping and Reporting 115 Keeping Employees Informed 122 Workplace Inspections and Enforcement 122 **OSHA'S Whistleblower Program** 123 OSHA's Enhanced Enforcement Policy 124 Citations and Penalties 125 Appeals Process 126 State-Level OSHA Programs 127 Services Available from OSHA 128 Employer Rights and Responsibilities 131 Employee Rights and Responsibilities 133 Keeping Up-to-Date on OSHA 134 Problems with OSHA 134

Contents

15

Other Agencies and Organizations 135 OSHA's General Industry Standards 139 OSHA's Maritime Standards 146 OSHA's Construction Standards 147 Standards and Codes 148 Laws and Liability 150 OSHA'S Stand on Safety Incentives 152

7 Workers' Compensation 157

Overview of Workers' Compensation 157 Historical Perspective 159 Workers' Compensation Legislation 161 Modern Workers' Compensation 161 Workers' Compensation Insurance 163 Resolution of Workers' Compensation Disputes 164 Injuries and Workers' Compensation 165 Disabilities and Workers' Compensation 166 Monetary Benefits of Workers' Compensation 170 Medical Treatment and Rehabilitation 171 Medical Management of Workplace Injuries 172 Administration and Case Management 173 Cost Allocation 173 Problems with Workers' Compensation 174 Spotting Workers' Compensation Fraud and Abuse 175 Future of Workers' Compensation 175 **Cost-Reduction Strategies 176**

8 Accident Investigation and Reporting 183

Types of Accident Investigations 183 When to Investigate 185 What to Investigate 185 Who Should Investigate 187 Conducting the Investigation 188 Interviewing Witnesses 190 Reporting Accidents 192 Ten Accident Investigation Mistakes to Avoid 196

9 Product Safety and Liability 200

Product Liability and the Law 200 Developing a Product Safety Program 204 Evaluating the Product Safety Program 205 Role of the Safety and Health Professional 206 Quality Management and Product Safety 207 Product Safety Program Record Keeping 209 User Feedback Collection and Analysis 210 Product Literature and Safety 210 Product Recalls and Safety Professionals 211

PART 3 THE HUMAN ELEMENT 215

10 Ergonomic Hazards: Musculoskeletal Disorders (MSDs) and Cumulative Trauma Disorders (CTDs) 217

Ergonomics Defined 218 Human Factors and Ergonomic Hazards 218 Factors Associated with Physical Stress 219 Ergonomics: A Political Football 221 OSHA's Voluntary Ergonomics Guidelines 222 Worksite Analysis Program for Ergonomics 225 Hazard Prevention and Control 228 Medical Management Program 229 Training and Education 232 Common Indicators of Problems 232 Identifying Specific Ergonomic Problems 233 Ergonomic Problem-Solving Strategies 235 Economics of Ergonomics 240 Cumulative Trauma Disorders 241 Participatory Ergonomics 244

11 Stress and Safety 248

Workplace Stress Defined 248 Sources of Workplace Stress 249 Human Reactions to Workplace Stress 251 Measurement of Workplace Stress 252 Shift Work, Stress, and Safety 252 Improving Safety by Reducing Workplace Stress 253 Stress in Safety Managers 255 Stress and Workers' Compensation 256

12 Safety and Health Training 259

Rationale for Safety and Health Training 259 Education and Training Requirements 262 Safety and Health Professionals as Trainers 265 Preparing Safety and Health Instruction 267 Presenting Safety and Health Instruction 268 Applying Safety and Health Instruction 273 Evaluating Safety and Health Instruction 274 Training Supervisors 275 Training New and Transferred Employees 275 Job Safety Analysis as a Training Technique 278 Training Opportunities Available 280

Contents

Illiteracy and Safety 281 English as a Second Language Training Issues 284 OSHA Standards and Training 284

13 Violence in the Workplace 288

Occupational Safety and Workplace Violence: The Relationship 288 Workplace Violence: Definitions 288 Legal Considerations 289 Risk-Reduction Strategies 291 OSHA's Voluntary Guidelines on Workplace Violence 292 Do's and Don'ts for Supervisors 300 Emergency Preparedness Plan 300

PART 4 HAZARD ASSESSMENT, PREVENTION, AND CONTROL 303

14 Mechanical Hazards and Machine Safeguarding 305

Common Mechanical Injuries 305 Safeguarding Defined 308 OSHA's Requirements for Machine Guarding 308 Risk Assessment in Machine Operation 309 Design Requirements for Safeguards 310 Point-of-Operation Guards 311 Point-of-Operation Devices 313 Machine Guarding Self-Assessment 314 Feeding and Ejection Systems 315 Robot Safeguards 315 Control of Hazardous Energy (Lockout/Tagout Systems) 316 Permanent Electrical Safety Devices in Lockout/Tagout Programs 317 General Precautions 321 Basic Program Content 321 Taking Corrective Action 322

15 Falling, Impact, Acceleration, Lifting, and Vision Hazards with Appropriate PPE 325

Causes of Falls 325 Kinds of Falls 326 Walking and Slipping 326 Slip and Fall Prevention Programs 329 OSHA Fall Protection Standards 330 Ladder Safety 333 What to Do after a Fall 335 Monitor Fall Protection Equipment and Know Why It Fails 335 Impact and Acceleration Hazards 335 Lifting Hazards 342 Standing Hazards 345 17

Hand Protection 346 Personal Protective Equipment 349 Forklift Safety (Powered Industrial Trucks) 351

16 Hazards of Temperature Extremes 357

Thermal Comfort 357 Heat Stress and Strain 358 Cold Stress 361 Burns and Their Effects 364 Chemical Burns 367

17 Pressure Hazards 371

Pressure Hazards Defined 371 Sources of Pressure Hazards 372 Boilers and Pressure Hazards 373 High-Temperature Water Hazards 373 Hazards of Unfired Pressure Vessels 374 Hazards of High-Pressure Systems 374 Cracking Hazards in Pressure Vessels 374 Nondestructive Testing of Pressure Vessels 376 Pressure Dangers to Humans 376 Decompression Procedures 378 Measurement of Pressure Hazards 378 Reduction of Pressure Hazards 379

18 Electrical Hazards 384

Electrical Hazards Defined 384 Sources of Electrical Hazards 387 Electrical Hazards to Humans 390 Detection of Electrical Hazards 390 Reduction of Electrical Hazards 392 OSHA's Electrical Standards 394 Electrical Safety Program 395 Electrical Hazards Self-Assessment 396 Prevention of Arc Flash Injuries 397 Training Requirements for Workers 398 Permanent Electrical Safety Devices 399

19 Fire Hazards and Life Safety 403

Fire Hazards Defined 403 Sources of Fire Hazards 406 Fire Dangers to Humans 409 Detection of Fire Hazards 409 Reduction of Fire Hazards 410 Development of Fire Safety Standards 415 OSHA Fire Standards 415

19

Life Safety 416 Basic Requirements 416 Flame-Resistant Clothing 418 Fire Safety Programs 419 Response 420 Explosive Hazards 420 OSHA's Firefighting Options 422 Self-Assessment in Fire Protection 423 Hot Work Program 424

20 Industrial Hygiene and Confined Spaces 429

Overview of Industrial Hygiene 429 Industrial Hygiene Standards 430 OSH Act and Industrial Hygiene 431 Hazards in the Workplace 434 Toxic Substances Defined 436 Entry Points for Toxic Agents 436 Effects of Toxic Substances 438 Relationship of Doses and Responses 438 Airborne Contaminants 439 Effects of Airborne Toxics 440 Effects of Carcinogens 441 Asbestos Hazards 441 Indoor Air Quality and "Sick-Building" Syndrome 444 Toxic Mold and Indoor Air Quality 446 ASTM D7338: Guide for the Assessment of Fungal Growth in Buildings 448 Threshold Limit Values 448 Hazard Recognition and Evaluation 449 Prevention and Control 450 NIOSH and Industrial Hygiene 452 NIOSH Guidelines for Respirators 453 Standards and Regulations 455 Environmental Protection Agency Risk Management Program 456 General Safety Precautions 457 Nanoscale Materials and Industrial Hygiene 458 Confined Space Hazards 459 OSHA Confined Space Standard 460 OSHA's Hazard Communication Standard 464

21 Radiation Hazards 471

Ionizing Radiation: Terms and Concepts 471 Exposure of Employees to Radiation 473 Precautions and Personal Monitoring 473 Caution Signs and Labels 474 Evacuation Warning Signal 474 Instructing and Informing Personnel 475 Storage and Disposal of Radioactive Material 475 Notification of Incidents 475 Reports and Records of Overexposure 476 Notice to Employees 477 Nonionizing Radiation 479 Electromagnetic Fields in the Workplace 481 OSHA Standards for Health and Environmental Controls 484

22 Noise and Vibration Hazards 487

Hearing Loss Prevention Terms 487 Characteristics of Sound 489 Hazard Levels and Risks 490 Standards and Regulations 491 Workers' Compensation and Noise Hazards 496 Identifying and Assessing Hazardous Noise Conditions 496 Noise Control Strategies 498 Vibration Hazards 502 Other Effects of Noise Hazards 503 Corporate Policy 503 Evaluating Hearing Loss Prevention Programs 504 Future of Hearing Conservation: Noise Reduction Rating 508 Fit testing of HPDs 509

23 Computers, Automation, and Robots 512

Impact of Automation on the Workplace 512 VDTs in Offices and Factories 513 Human–Robot Interaction 515 Safety and Health Problems Associated with Robots 515 Industrial Medicine and Robots 517 Minimizing the Problems of Automation 518 Challenge for the Future 520

24 Bloodborne Pathogens and Bacterial Hazards in the Workplace 524

Symptoms of AIDS 524 AIDS in the Workplace 525 Legal Concerns 527 AIDS Education 530 Counseling Infected Employees 530 Easing Employees' Fears about AIDS 532 Protecting Employees from AIDS 532 Hepatitis B Virus (HBV) and Hepatitis C Virus (HCV) in the Workplace 533 OSHA's Standard on Occupational Exposure to Bloodborne Pathogens 536 Preventing and Responding to Needlestick Injuries 540 Methicillin Resistant Staphylococcus Aureus (MRSA) in the Workplace 541

21

PART 5 MANAGEMENT OF SAFETY AND HEALTH 545

25 Preparing for Emergencies and Terrorism 547

Rationale for Emergency Preparation 547 Emergency Planning and Community Right-to-Know Act 548 Organization and Coordination 549 OSHA Standards 550 First Aid in Emergencies 550 How to Plan for Emergencies 553 Planning for Workers with Disabilities 555 Evacuation Planning 559 Customizing Plans to Meet Local Needs 560 Emergency Response 561 Computers and Emergency Response 561 Dealing with the Psychological Trauma of Emergencies 562 Recovering from Disasters 563 Terrorism in the Workplace 565 Resuming Business after a Disaster 567

26 Ethics and Safety 571

An Ethical Dilemma 571 Ethics Defined 572 Ethical Behavior in Organizations 574 Safety and Health Professionals' Role in Ethics 574 Company's Role in Ethics 576 Handling of Ethical Dilemmas 577 Questions to Ask When Making Decisions 578 Ethics and Whistle-Blowing 579

27 Hazard Analysis/Prevention and Safety Management 584

Overview of Hazard Analysis 584 Preliminary Hazard Analysis 585 Detailed Hazard Analysis 587 Hazard Prevention and Deterrence 594 OSHA Process Safety Standard 595 Risk Assessment 598 Safety Management Concerns 599 Occupational Health and Safety Management Systems 602

28 Promoting Safety 606

Company Safety Policy 606 Safety Rules and Regulations 607 Employee Participation in Promoting Safety 608 Safety Training 608 Suggestion Programs 609 Visual Awareness 610 Safety Committees 611 Personal Commitment to Workplace Safety 613 Employee-Management Participation 613 Incentives 614 Competition 614 Teamwork Approach to Promoting Safety 615 Persuasion as a Promotional Tool 618 Promoting Off-the-Job Safety 619

29 Environmental Safety and ISO 14000 (Environmental Management) 623

Safety, Health, and the Environment 623 Legislation and Regulation 624 Types of Environments 626 Role of Safety and Health Professionals 627 Hazards of the Environment 627 Hazardous Waste Reduction 632 Environmental Management System (EMS) 635 International Organization for Standardization (ISO) 639 ISO 14000 640 ISO 14001 Standard 640

30 TSM: Total Safety Management in a Quality Management Setting 651

What Is QM? 651 How Does QM Relate to Safety? 652 Safety Management in a QM Setting 653 What Is TSM? 654 Translating TSM into Action 655 Fundamental Elements of TSM 656 Rationale for TSM 660 Implementing TSM: The Model 660

31 Establishing a Safety-First Corporate Culture 664

Safety-First Corporate Culture Defined 664 Importance of Having a Safety-First Corporate Culture 665 Globalization of Competition and Safety 665 How Corporate Cultures Are Created 667 What a Safety-First Corporate Culture Looks Like 668 Ten Steps for Establishing a Safety-First Corporate Culture 668

Glossary 675 Index 689



HISTORICAL PERSPECTIVE AND OVERVIEW

- 1 Safety and Health Movement, Then and Now 25
- 2 Accidents and Their Effects 41
- **3** Theories of Accident Causation 55
- 4 Roles and Professional Certifications for Safety and Health Professionals 74
- 5 Safety, Health, and Competition in the Global Marketplace 97

This page is intentionally left blank.

SAFETY AND HEALTH MOVEMENT, THEN AND NOW

MAJOR TOPICS

- Developments before the Industrial Revolution
- Milestones in the Safety Movement
- ► Tragedies That Have Changed the Safety Movement
- ► Role of Organized Labor
- ► Role of Specific Health Problems
- Development of Accident Prevention Programs
- Development of Safety Organizations
- ► Safety and Health Movement Today
- Integrated Approach to Safety and Health
- ► New Materials, New Processes, and New Problems
- ► Rapid Growth in the Profession
- ▶ Return on Investment in Safety and Health Management

The **safety movement** in the United States has developed steadily since the early 1900s. In that time period, industrial accidents were commonplace in this country; for example, in 1907, more than 3,200 people were killed in mining accidents. Legislation, precedent, and public opinion all favored management. There were few protections for workers' safety.

Working conditions for industrial employees today have improved significantly. The chance of a worker being killed in an industrial accident is less than half of what it was 60 years ago.¹ According to the National Safety Council (NSC), the current death rate from work-related injuries is approximately 4 per 100,000, or less than a third of the rate 50 years ago.²

Improvements in safety until now have been the result of pressure for legislation to promote safety and health, the steadily increasing costs associated with accidents and injuries, and the professionalization of safety as an occupation. Improvements in the future are likely to come as a result of greater awareness of the cost-effectiveness and resultant competitiveness gained from a safe and healthy workforce.

This chapter examines the history of the safety movement in the United States and how it has developed over the years. Such a perspective will help practicing and prospective safety professionals form a better understanding of both their roots and their future.

DEVELOPMENTS BEFORE THE INDUSTRIAL REVOLUTION

It is important for students of occupational health and safety to first study the past. Understanding the past can help safety and health professionals examine the present and future with a sense of perspective and continuity. Modern developments in health and safety are neither isolated nor independent. Rather, they are part of the long continuum of developments in the safety and health movement. The continuum begins with the days of the ancient Babylonians. During that time, circa 2000 BC, their ruler, Hammurabi, developed his **Code of Hammurabi**. The code encompassed all the laws of the land at that time, showed Hammurabi to be a just ruler, and set a precedent followed by other Mesopotamian kings. The significance of the code from the perspective of safety and health is that it contained clauses dealing with injuries, allowable fees for physicians, and monetary damages assessed against those who injured others.³ This clause from the code illustrates Hammurabi's concern for the proper handling of injuries: "If a man has caused the loss of a gentleman's eye, his own eye shall be caused to be lost."⁴

This movement continued and emerged in later Egyptian civilization. As evidenced from the temples and pyramids that still remain, the Egyptians were an industrious people. Much of the labor was provided by slaves, and there is ample evidence that slaves were not treated well—that is, unless it suited the needs of the Egyptian taskmasters.

One such case occurred during the reign of Rameses II (circa 1500 BC), who undertook a major construction project, the Ramesseum. To ensure the maintenance of a workforce sufficient to build this huge temple bearing his name, Rameses created an industrial medical service to care for the workers. They were required to bathe daily in the Nile and were given regular medical examinations. Sick workers were isolated.⁵

The Romans were vitally concerned with safety and health, as can be seen from the remains of their construction projects. The Romans built aqueducts, sewerage systems, public baths, latrines, and well-ventilated houses.⁶

As civilization progressed, so did safety and health developments. In 1567, Philippus Aureolus produced a treatise on the pulmonary diseases of miners. Titled *On the Miners' Sickness and Other Miners' Diseases*, the treatise covered diseases of smelter workers and metallurgists and diseases associated with the handling of and exposure to mercury. Around the same time, Georgius Agricola published his treatise *De Re Metallica*, emphasizing the need for ventilation in mines and illustrating various devices that could be used to introduce fresh air into mines.⁷

The eighteenth century saw the contributions of Bernardino Ramazzini, who wrote *Discourse on the Diseases of Workers*. Ramazzini drew conclusive parallels between diseases suffered by workers and their occupations. He related occupational diseases to the handling of harmful materials and to irregular or unnatural movements of the body. Much of what Ramazzini wrote is still relevant today.⁸

The Industrial Revolution changed forever the methods of producing goods. According to J. LaDou, the changes in production brought about by the Industrial Revolution can be summarized as follows:

- Introduction of inanimate power (i.e., steam power) to replace people and animal power
- Substitution of machines for people
- Introduction of new methods for converting raw materials
- Organization and specialization of work, resulting in a division of labor⁹

These changes necessitated a greater focusing of attention on the safety and health of workers. Steam power increased markedly the potential for life-threatening injuries, as did machines. The new methods used for converting raw materials also introduced new risks of injuries and diseases. Specialization, by increasing the likelihood of boredom and inattentiveness, also made the workplace a more dangerous environment.

MILESTONES IN THE SAFETY MOVEMENT

Just as the United States traces its roots to Great Britain, the safety movement in this country traces its roots to England. During the Industrial Revolution, child labor in factories was common. The hours were long, the work hard, and the conditions often unhealthy and unsafe. Following an outbreak of fever among the children working in their cotton mills, the people of Manchester, England, began demanding better working conditions in the factories. Public pressure eventually forced a government response, and in 1802 the Health and Morals of Apprentices Act was passed. This was a milestone piece of legislation: It marked the beginning of governmental involvement in workplace safety.

When the industrial sector began to grow in the United States, hazardous working conditions were commonplace. Following the Civil War, the seeds of the safety movement were sown in this country. Factory inspection was introduced in Massachusetts in 1867. In 1868, the first barrier safeguard was patented. In 1869, the Pennsylvania legislature passed a mine safety law requiring two exits from all mines. The Bureau of Labor Statistics (BLS) was established in 1869 to study industrial accidents and report pertinent information about those accidents.

The following decade saw little new progress in the safety movement until 1877, when the Massachusetts legislature passed a law requiring safeguards for hazardous machinery. This year also saw passage of the Employer's Liability Law, establishing the potential for **employer liability** in workplace accidents. In 1892, the first recorded safety program was established in a Joliet, Illinois, steel plant in response to a scare caused when a flywheel exploded. Following the explosion, a committee of managers was formed to investigate and make recommendations. The committee's recommendations were used as the basis for the development of a safety program that is considered to be the first safety program in American industry.

Around 1900, Frederick Taylor began studying efficiency in manufacturing. His purpose was to identify the impact of various factors on efficiency, productivity, and profitability. Although safety was not a major focus of his work, Taylor did draw a connection between lost personnel time and management policies and procedures. This connection between safety and management represented a major step toward broad-based safety consciousness.

In 1907, the U.S. Department of the Interior created the Bureau of Mines to investigate accidents, examine health hazards, and make recommendations for improvements. Mining workers definitely welcomed this development, since more than 3,200 of their fellow workers were killed in mining accidents in 1907 alone.¹⁰

One of the most important developments in the history of the safety movement occurred in 1908 when an early form of **workers' compensation** was introduced in the United States. Workers' compensation actually had its beginnings in Germany. The practice soon spread throughout the rest of Europe. Workers' compensation as a concept made great strides in the United States when Wisconsin passed the first effective workers' compensation law in 1911. In the same year, New Jersey passed a workers' compensation law that withstood a court challenge.

The common thread among the various early approaches to workers' compensation was that they all provided some amount of compensation for on-the-job injuries regardless of who was at fault. When the workers' compensation concept was first introduced in the United States, it covered a very limited portion of the workforce and provided only minimal benefits. Today, all 50 states have some form of workers' compensation that requires the payment of a wide range of benefits to a broad base of workers. Workers' compensation is examined in more depth in Chapter 7.

The Association of Iron and Steel Electrical Engineers (AISEE), formed in the early 1900s, pressed for a national conference on safety. As a result of the AISEE's efforts, the first meeting of the **Cooperative Safety Congress (CSC)** took place in Milwaukee in 1912. What is particularly significant about this meeting is that it planted the seeds for the eventual establishment of the NSC. A year after the initial meeting of the CSC, the **National Council of Industrial Safety (NCIS)** was established in Chicago. In 1915, this organization changed its name to the National Safety Council. It is now the premier safety organization in the United States.

From the end of World War I (1918) through the 1950s, safety awareness grew steadily. During this period, the federal government encouraged contractors to implement and maintain a safe work environment. Also during this period, industry in the United States arrived at two critical conclusions: (1) there is a definite connection between quality and safety, and (2) off-the-job accidents have a negative impact on productivity. The second conclusion became painfully clear to manufacturers during World War II when the call-up and deployment of troops had employers struggling to meet their labor needs. For these employers, the loss of a skilled worker due to an injury or for any other reason created an excessive hardship.¹¹

The 1960s saw the passage of a flurry of legislation promoting workplace safety. The Service Contract Act of 1965, the Federal Metal and Nonmetallic Mine Safety Act, the Federal Coal Mine and Safety Act, and the Contract Workers and Safety Standards Act all were passed during the 1960s. As their names indicate, these laws applied to a limited audience of workers.

These were the primary reasons behind passage of the **Occupational Safety and Health Act (OSH Act)** of 1970 and the Federal Mine Safety Act of 1977. These federal laws, particularly the OSH Act, represent the most significant legislation to date in the history of the safety movement. During the 1990s, the concept of Total Safety Management (TSM) was introduced and adopted by firms that were already practicing Total Quality Management (TQM). TSM encourages organizations to take a holistic approach to safety management in which the safety of employees, processes, and products is considered when establishing safe and healthy work practices.

At the turn of the century, workplace violence including terrorism began to concern safety and health professionals. In addition, the twenty-first century saw a trend in which older people were returning to work to supplement their retirement income. This trend led to a special emphasis on the safety and health of older workers. A more recent trend is greater concern of U.S. companies for the safety and health of employees in foreign countries that manufacture goods that are sold in the United States.

The Superfund Amendments and Reauthorization Act was passed by Congress in 1986, followed by the Amended Clean Air Act in 1990; both were major pieces of environmental legislation.

Figure 1–1 summarizes some significant milestones in the development of the safety movement in the United States.

TRAGEDIES THAT HAVE CHANGED THE SAFETY MOVEMENT

Safety and health tragedies in the workplace have greatly accelerated the pace of the safety movement in the United States. Four of the most significant events in the history of the safety and health movement were the **Hawk's Nest tragedy**, **asbestos menace**, the **Bhopal tragedy**, and factory fire in Bangladesh. This section explains these three milestone events and their lasting effects on the safety and health movement in the United States.

Hawk's Nest Tragedy

In the 1930s, the public began to take notice of the health problems suffered by employees who worked in dusty environments. The Great Depression was indirectly responsible for the attention given to an occupational disease that came to be known as *silicosis*. As the economic crash spread, business after business shut down and laid off its workers. Unemployed miners and foundry workers began to experience problems finding new jobs when physical examinations revealed that they had lung damage from breathing silica. Cautious insurance companies recommended preemployment physicals as a way to prevent future claims based on preexisting conditions. Applicants with silica-damaged lungs were refused employment. Many of them sued. This marked the beginning of industry-wide interest in what would eventually be called the "king" of occupational diseases.

Lawsuits and insurance claims generated public interest in silicosis, but it was the Hawk's Nest tragedy that solidified public opinion in favor of protecting workers from this debilitating disease.¹² A company was given a contract to drill a passageway through a mountain located in the Hawk's Nest region of West Virginia (near the city of Gauley Bridge). Workers spent as many as 10 hours per day breathing the dust created by drilling and blasting. It turned out that this particular mountain had an unusually high silica

1867	Massachusetts introduces factory inspection.
1868	Patent is awarded for first barrier safeguard.
1869	Pennsylvania passes law requiring two exits from all mines, and the Bureau of Labor Statistics is formed.
1877	Massachusetts passes law requiring safeguards on hazardous machines, and the Employer's Liability Law is passed.
1892	First recorded safety program is established.
1900	Frederick Taylor conducts first systematic studies of efficiency in manufacturing.
1907	Bureau of Mines is created by the U.S. Department of the Interior.
1908	Concept of workers' compensation is introduced in the United States.
1911	Wisconsin passes the first effective workers' compensation law in the United States, and New Jersey becomes the first state to uphold a workers' compensation law.
1912	First Cooperative Safety Congress meets in Milwaukee.
1913	National Council of Industrial Safety is formed.
1915	National Council of Industrial Safety changes its name to National Safety Council.
1916	Concept of negligent manufacture is established (product liability).
1936	National Silicosis Conference convened by the U.S. Secretary of Labor.
1970	Occupational Safety and Health Act passes.
1977	Federal Mine Safety Act passes.
1986	Superfund Amendments and Reauthorization Act pass.
1990	Amended Clean Air Act of 1970 passes.
1996	Total safety management (TSM) concept is introduced.
2000	U.S. firms begin to pursue ISO 14000 registration for environmental safety management.
2003	Workplace violence and terrorism are an ongoing concern of safety and health professionals.
2007	Safety of older people reentering the workplace becomes an issue.
2010	Off-the-job safety becomes an issue.
2014	Pressure on foreign companies that produce goods sold in the United States to improve their safety standards.

FIGURE 1-1 Milestones in the safety movement.

content. Silicosis is a disease that normally takes 10 to 30 years to show up in exposed workers. At Hawk's Nest, workers began dying in as little time as a year. By the time the project was completed, hundreds had died. To make matters even worse, the company often buried an employee who died from exposure to silica in a nearby field without notifying the family. Those who inquired were told that their loved one left without saying where he was going.

A fictitious account of the Gauley Bridge disaster titled *Hawk's Nest*, by Hubert Skidmore, whipped the public outcry into a frenzy, forcing Congress to respond.

This tragedy and the public outcry that resulted from it led a group of companies to form the Air Hygiene Foundation to conduct research and develop standards for working in dusty environments. Soon thereafter, the U.S. Department of Labor provided the leadership necessary to make silicosis a compensable disease under workers' compensation in most states. Today, dust-producing industries use a wide variety of administrative controls, engineering controls, and personal protective equipment to protect workers in dusty environments. However, silicosis is still a problem. Approximately 1 million workers in the United States are still exposed to silica every year, and 250 people die annually from silicosis.

Asbestos Menace

Asbestos was once considered a "miracle" fiber, but in 1964, Dr. Irving J. Selikoff told 400 scientists at a conference on the biological effects of asbestos that this widely used material was killing workers. This conference changed how Americans viewed not just asbestos, but also workplace hazards in general. Selikoff was the first to link asbestos to lung cancer and respiratory diseases.¹³

At the time of Selikoff's findings, asbestos was one of the most widely used materials in the United States. It was found in homes, schools, offices, factories, ships, and even in the filters of cigarettes. Selikoff continued to study the effects of asbestos exposure from 1967 to 1986. During this time, he studied the mortality rate of 17,800 workers who had been exposed to asbestos. He found asbestos-related cancer in the lungs, gastrointestinal tract, larynx, pharynx, kidneys, pancreas, gall bladder, and bile ducts of workers.

Finally, in the 1970s and 1980s, asbestos became a controlled material. Regulations governing the use of asbestos were developed, and standards for exposure were established. Asbestos-related lawsuits eventually changed how industry dealt with this tragic material. In the 1960s, industry covered up or denied the truth about asbestos. Now, there is an industry-wide effort to protect workers who must remove asbestos from old buildings and ships during remodeling, renovation, or demolition projects.

Bhopal Tragedy

On the morning of December 3, 1984, over 40 tons of methyl isocyanate (MIC) and other lethal gases, including hydrogen cyanide, leaked into the northern end of Bhopal, killing more than 3,000 people in its aftermath.¹⁴ After the accident, it was discovered that the protective equipment that could have halted the impending disaster was not in full working order. The refrigeration system that should have cooled the storage tank was shut down, the scrubbing system that should have absorbed the vapor was not immediately available, and the flare system that would have burned any vapor that got past the scrubbing system was out of order.¹⁵

The International Medical Commission visited Bhopal to assess the situation and found that as many as 50,000 other people had been exposed to the poisonous gas and may still suffer disability as a result. This disaster shocked the world. Union Carbide Corporation, the owner of the chemical plant in Bhopal, India, where the incident occurred, was accused of many things, including the following:

- Criminal negligence.
- Corporate prejudice. Choosing poverty-stricken Bhopal, India, as the location for a hazardous chemical plant on the assumption that few would care if something went wrong.
- Avoidance. Putting its chemical plant in Bhopal, India, to avoid the stricter safety and health standards of the United States and the Occupational Safety and Health Administration (OSHA) in particular.

In February 1989, India's Supreme Court ordered Union Carbide India Ltd. to pay \$470 million in compensatory damages. The funds were paid to the Indian government to be used to compensate the victims. This disaster provided the impetus for the passage of stricter safety legislation worldwide. In the United States, it led to the passage of the Emergency Planning and Community Right-to-Know Act (EPCRA) of 1986.

Factory Fire in Bangladesh

In November 2012, a garment-factory fire in Bangladesh killed 112 employees. The magnitude of the tragedy was enhanced when it was discovered that the factory produced